

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
12406-212US1 P2004,0150 US N

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

**10/588167**

INTERNATIONAL APPLICATION NO.  
PCF/DE2005/000281

INTERNATIONAL FILING DATE  
February 18, 2005

PRIORITY DATE CLAIMED  
February 20, 2004

**TITLE OF INVENTION**

OPTOELECTRONIC COMPONENT, DEVICE COMPRISING A PLURALITY OF OPTOELECTRONIC COMPONENTS, AND METHOD FOR THE PRODUCTION OF AN OPTOELECTRONIC COMPONENT

**APPLICANT(S) FOR DO/EO/US**

Ralf Wirth, Herbert Brunner, Stefan Illek and Dieter Eissler

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 C.F.R. 1.76.
15.  A substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13<sup>ter</sup>.2 and 37 C.F.R. 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information:  
Certificate of English Translation; International Search Report

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
Express Mail Label No. **EL 980077133 US**  
Date of Deposit: **August 2, 2006**

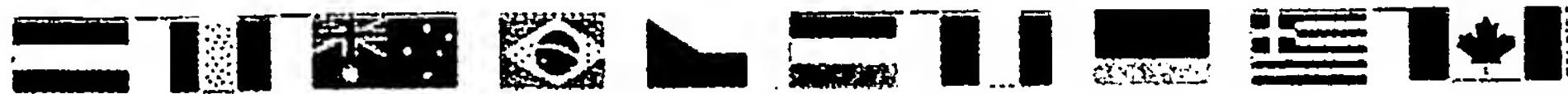
U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>107588167</b>		INTERNATIONAL APPLICATION NO. PCT/DE2005/000281	ATTORNEY'S DOCKET NUMBER 12406-212US1 P2004,0150 US N
The following fees have been submitted			
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....		\$300	CALCULATIONS PTO USE ONLY \$300.00
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....		\$0	\$200.00
All other situations.....		\$200	
23. <input checked="" type="checkbox"/> Search fee If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....		\$0	\$400.00
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....		\$100	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .....		\$400	
All other situations.....		\$500	
<b>Total of 21, 22 and 23 =</b>			<b>\$900.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
103-100 =	3/50 =	1	X \$250
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(h)).			\$0.00
CLAIMS		NUMBER FILED	NUMBER EXTRA
Total Claims		70 - 20 =	50
Independent Claims		3 - 3 =	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$4,010.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½.			\$0.00
<b>SUBTOTAL =</b>			<b>\$4,010.00</b>
Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(i))			\$0.00
<b>TOTAL NATIONAL FEE =</b>			<b>\$4,010.00</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property			\$0.00
<b>TOTAL FEES ENCLOSED =</b>			<b>\$4,010.00</b>
			Amount to be refunded: \$0.00
			Amount to be charged: \$0.00
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$4,010.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
PTO Customer No: 26161		SIGNATURE	
		Marc M. Wefers, Ph.D., J.D.	
		NAME	
		56,842	
		REGISTRATION NUMBER	

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IAPI20 Rec'd PCT/PTO 02 AUG 2006

# Transtek Associates, Inc.



July 31, 2006

This is a true and accurate translation, to the best of our knowledge and ability of the German Patent (Transtek Document No. GE0718), submitted to Transtek Associates, Inc. for translating into English.

A handwritten signature in black ink, appearing to read "Michele D. Phillips".

TRANSTEK ASSOCIATES, INC.

Michele D. Phillips, President